SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>O'Dowd Tessa</u>			Date of Event Retatement (Month/ 5/11/2023		3. Issuer Name and Ticker or Trading Symbol Lowell Farms Inc. [LOWLF]				
	(First) L FARMS INC. UN CIRCLE, SUI CA (State)	(Middle) TE B 93907 (Zip)			4. Relationship of Reporting Person(s (Check all applicable) Director X Officer (give title below) Interim CFC	10% Owner Other (speci below)	(Mor 6. In App	If Amendment, Date of Original Filed lonth/Day/Year) Individual or Joint/Group Filing (Check plicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person	
1. Title of Security (Instr. 4)				· · · ·		(D) or 5)	l. Nature of Indirect Beneficial Ownership (Instr. 5)		
		(6			ve Securities Beneficially Ov ants, options, convertible so				
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	5. Ownership Form: Direct (D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiration Date	n Title	Amount or Number of Shares	Price of Derivative Security	Indirect (I) (Instr. 5)	
Stock Options (right to buy)			(1)	12/22/2028	³ Subordinate Voting Shares	100,000	0.082	D	
Stock Options (right to buy)			(2)	08/12/2028	³ Subordinate Voting Shares	250.000	0.231	D	

Explanation of Responses:

1. 25% of the options vest and become exercisable on each of the first four anniversaries of the date of grant, December 22, 2022. 2. 25% of the options vest and become exercisable on each of the first four anniversaries of the date of grant, August 12, 2022.

/s/ Tessa O'Dowd

** Signature of Reporting Person

05/30/2023 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.